



Attorney Docket No. AIA-117

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Patent Application of

~~Kiyoshi~~ WASHINO et al.

~~Serial~~ No. 09/543,011

~~Filed~~: April 4, 2000

~~For~~: FRAME KIT FOR IC CARD AND IC
CARD USING THE SAME

Group Art Unit: 2841

Examiner: T. Dinh

#6/I.O.S.
R. T. [signature]
10/19/01

RECEIVED
OCT 17 2001
TECHNICAL STAFF

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

The applicant, through its representatives and attorneys, hereby brings to the attention of the Examiner the documents identified on the accompanying Form PTO-1449. A copy of each listed document is being submitted to comply with the provisions of 37 CFR 1.97 and 1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* prior art reference against the claims of the present application.

**CONCISE EXPLANATION OF
RELEVANCE OF EACH DOCUMENT**

The documents B1 – B6 have been cited in the European Search Report, a copy of which is attached hereto.

STATEMENT UNDER 37 CFR §§1.97(c)(1) AND 1.97(e)

Documents B1 – B5 of this Information Disclosure Statement were first cited in any communication from a foreign patent office in a counterpart or related foreign application not more than three months prior to the filing of the information disclosure statement.

Since this Information Disclosure Statement is being filed after mailing of a first Office action on the merits and before mailing of a final action under 37 CFR §1.113, a notice of allowance under 37 CFR §1.311 or an action that otherwise closes prosecution in the application, no fee is still believed to be required according to 37 CFR §1.97(c)(1) in view of the statement above. If, however, a final action under 37 CFR §1.113, a notice of allowance under 37 CFR §1.311 or an action that otherwise closes prosecution in the application has been mailed prior to filing of this Information Disclosure Statement, the Patent Office is authorized to charge \$180 under 37 CFR §§ 1.97(d)(2) and 1.17(P) in view of the statement above under 37 CFR §1.97(e).

It is respectfully requested that the listed documents be considered by the Examiner and formally be made of record in the present application and that an initialled copy of Form PTO-1449 be returned in accordance with MPEP § 609.

Respectfully submitted,



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Dated: October 16, 2001

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